

Please type a plus sign (+) inside this box → 

PTO/SB/81 (02-01)

Approved for use through 10/31/2003, OMB 0861-0038

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

| | |
|------------------------|-------------------------|
| Application Number | 09/873611 |
| Filing Date | 4 June 2001 |
| First Named Inventor | Koji Kamei |
| Title | Heat-Shrinkable Tube... |
| Group Art Unit | 3743 |
| Examiner Name | L. V. Ciric |
| Attorney Docket Number | 114GI-147 |

I hereby appoint:

☐ Practitioners at Customer Number 
Place Customer
Number Bar Code
Label here
☒ Practitioner(s) named below:

| Name | Registration Number |
|------------------|---------------------|
| Bradley N. Ruben | 32,058 |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number 

OR

Place Customer
Number Bar Code
Label here
☒ Firm or
Individual Name

Bradley N. Ruben, PC

Address

483 First St., Suite 5A

Address

City

Hoboken

State

NJ

Zip

07030-1859

Country

USA

Telephone

201-239-0707

Fax

201-239-0734

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/98).

SIGNATURE of Applicant or Assignee of Record

Name

NEC TOKIN Corporation, Tadamichi Nakasato General Manager

Signature

Tadamichi Nakasato

of Intellectual
Property Division

Date

December 10, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → 

PTO/55/62 (11-88)

Approved for use through 6/30/99. OMB 0551-0035

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF
ATTORNEY OR
AUTHORIZATION OF AGENT**

| | |
|------------------------|-------------|
| Application Number | 09/873611 |
| Filing Date | 4 June 2001 |
| First Named Inventor | Koji Kamei |
| Group Art Unit | 3743 |
| Examiner Name | L. V. Ciric |
| Attorney Docket Number | 114GI-147 |

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:☐ Customer Number

OR

Place Customer
Number Bar Code
Label here☐ Firm or
Individual Name

Address

Address

City

Country

State

ZIP

Telephone

Fax

I am the:

☐ Applicant.☒Assignee of record of the entire interest
Certificate under 37 CFR 3.73(b) is enclosed**SIGNATURE of Applicant or Assignee of Record**

Name

NEC TOKIN Corporation
Tadamichi Nakasato, General Manager of Intellectual


Signature

Tadamichi Nakasato

Property Division

Date

December 10, 2002


 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/08 (2-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Koji Kamei

Applicant: _____

Application No.: 09/873811

Filed: 4 June 2001

Entitled: Heat-Shrinkable Tube, Heat-Shrinkable Sheet & Method for Shrinking the Same

NEC TOKIN Corporation

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

- A. [x] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 13804, Frame 643, or for which a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

December 10, 2002

Date

Tadamichi Nakasato

Signature

Tadamichi Nakasato

Typed or printed name

General Manager of Intellectual

Title

Property Division

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES
PATENT AND
TRADEMARK OFFICE

RECEIVED JUL 26 2003

JULY 21, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

BRADLEY N. RUBEN
463 FIRST STREET
SUITE 5A
HOBOKEN, NJ 07030



102384532A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/06/2003

REEL/FRAME: 013804/0643
NUMBER OF PAGES: 5

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TOKIN CORPORATION

DOC DATE: 04/01/2002

ASSIGNEE:

NEC TOKIN CORPORATION
7-1, KORIYAMA 6-CHOME
TAIHAKU-KU, SENDAI-SHI
MIYAGI 982-8510, JAPAN

SERIAL NUMBER: 09701420
PATENT NUMBER:

FILING DATE: 01/27/2000
ISSUE DATE:

SERIAL NUMBER: 09578391
PATENT NUMBER:

FILING DATE: 05/25/2000
ISSUE DATE:

SERIAL NUMBER: 09857041
PATENT NUMBER:

FILING DATE: 05/29/2001
ISSUE DATE:


SERIAL NUMBER: 09672458
PATENT NUMBER:

FILING DATE: 09/28/2000
ISSUE DATE:

013804/0643 PAGE 2

SERIAL NUMBER: 09873611
PATENT NUMBER:FILING DATE: 06/04/2001
ISSUE DATE:SERIAL NUMBER: 09866448
PATENT NUMBER:FILING DATE: 05/25/2001
ISSUE DATE:SERIAL NUMBER: 08826094
PATENT NUMBER: 6392297FILING DATE: 03/28/1997
ISSUE DATE: 05/21/2002SERIAL NUMBER: 09298437
PATENT NUMBER: 6376912FILING DATE: 04/23/1999
ISSUE DATE: 04/23/2002SERIAL NUMBER: 09236245
PATENT NUMBER: 6475261FILING DATE: 01/25/1999
ISSUE DATE: 11/05/2002SERIAL NUMBER: 09073004
PATENT NUMBER: 6416830FILING DATE: 04/24/1998
ISSUE DATE: 07/09/2002SERIAL NUMBER: 09463803
PATENT NUMBER: 6515405FILING DATE: 01/29/2000
ISSUE DATE: 02/04/2003SERIAL NUMBER: 09560045
PATENT NUMBER: 6388548FILING DATE: 04/27/2000
ISSUE DATE: 05/14/2002DIANE RUSSELE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03-10-2003

| | | | | | |
|--|--|---|---|---|--|
| Form PTO-1595 (Rev. 03/01) | | REC |  | U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office | |
| OMB No. 0651-0027 (exp. 5/31/2002) | | 102384532 | | | |
| Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼ | | | | | |
| To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. | | | | | |
| 1. Name of conveying party(ies): TOKIN Corporation | | 2. Name and address of receiving party(ies) Name: NEC TOKIN Corporation Internal Address: 7-1, Koriyama 6-chome Taihaku-ku, Sendai-shi Miyagi 682-8510, Japan Street Address: 7-1, Koriyama 6-chome Taihaku-ku, Sendai-shi City: Miyagi State: Japan Zip: 682-8510 | | | |
| Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| 3. Nature of conveyance: <input type="checkbox"/> Assignment <input checked="" type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____ | | Execution Date: April 1, 2002 | | | |
| 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) 09/701420, 09/578391, 09/857041, 09/672458, 09/873611, 09/866448 B. Patent No.(s) 6392297, 6376912, 6475261, 6416830, 6515405, 6388548. Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Bradley N. Ruben Internal Address: Bradley N. Ruben, P.C. Street Address: 463 First Street, Suite 5A City: Hoboken State: NJ Zip: 07030 | | 6. Total number of applications and patents involved: 13 7. Total fee (37 CFR 3.41).....\$ 480.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: 502144 (Attach duplicate copy of this page if paying by deposit account) | | | |
| DO NOT USE THIS SPACE | | | | | |
| 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Bradley N. Ruben Name of Person Signing Signature February 28, 2003 Date Total number of pages including cover sheet, attachments, and documents: 6 | | | | | |

13/07/2003 ED00000 00000191 09701420

11 FC:8021

480.00 00

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PTO/SB/02 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

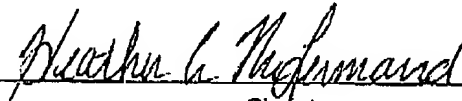
Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner of Patents & Trademarks
Box Assignments
Washington, DC 20231

on February 28, 2003

Date



Signature

Heather A. McLennand

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Papers Included herewith:

Recordation form cover sheet, Partial English Translation of the Registration Certificate, original certificate in Japanese, return receipt postcard, a check for \$ 480.00

Docket No.: 114GI-000

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

現在事項全部証明書

仙台市太白区郡山六丁目7番1号

エヌイーシートーキン株式会社

会社法人等番号 3700-01-001985

| | | |
|---------------------------|---|---------------|
| 商 号 | 株式会社トーキン | |
| | エヌイーシートーキン株式会社 | 平成14年 4月 1日登記 |
| 本 店 | 仙台市太白区郡山六丁目7番1号 | |
| 公告をする方法 | 東京都において発行する日本経済新聞に掲載する | |
| 貸借対照表に係る情報の提供を受けるために必要な事項 | http://www.nec-tokin.com/ | 平成14年 5月27日設定 |
| | | 平成14年 6月 5日登記 |
| 会社成立の年月日 | 昭和13年4月8日 | |
| 目 的 | 1. 電気通信機器用電気磁気材料、部品及びその応用製品の製造販売 2. メモリー装置その他情報機器用部品装置の製造販売 3. 一般電子機器用材料、部品及びその応用製品の製造販売 4. 建築工事の設計、施工及び監理 5. 前各号に附帯する事業並びにこれに関連する一切の業務 | |
| 一単元の株式の数 | 1000株 | |
| 発行する株式の総数 | 2億株 | 平成14年 4月 1日登記 |
| | | |
| 発行済株式の総数並びに種類及び数 | 発行済株式の総数 1億1351万6066株 | 平成14年 4月 1日登記 |
| | | |
| 資本の額 | 金129億9021万2211円 | 平成14年 4月 1日登記 |
| | | |
| 名義書換代理人の氏名及び住所並びに営業所 | 大阪市中央区北浜四丁目5番33号 住友信託銀行株式会社 | |
| 役員に関する事項 | 取締役 鴨 志 田 元 孝 | 平成12年 6月29日重任 |
| | | 平成12年 7月11日登記 |

整理番号 カ345439

* 下線のあるものは抹消事項であることを示す。

1/4

仙台市太白区郡山六丁目7番1号
 エヌイーシートーキン株式会社
 会社法人等番号 3700-01-001985

| | | |
|-----|---------|---------------|
| 取締役 | 松村 寛 廣 | 平成12年 6月29日重任 |
| | | 平成12年 7月11日登記 |
| 取締役 | 内山 秀 男 | 平成13年 6月28日重任 |
| | | 平成13年 7月 5日登記 |
| 取締役 | 羽出 祐 一 | 平成13年 6月28日重任 |
| | | 平成13年 7月 5日登記 |
| 取締役 | 八木 良 樹 | 平成13年 6月28日重任 |
| | | 平成13年 7月 5日登記 |
| 取締役 | 上屋 治 彦 | 平成13年 6月28日重任 |
| | | 平成13年 7月 5日登記 |
| 取締役 | 本田 廣 雄 | 平成13年 6月28日重任 |
| | | 平成13年 7月 5日登記 |
| 取締役 | 松田 広 志 | 平成12年 6月29日重任 |
| | | 平成12年 7月11日登記 |
| 取締役 | 吉田 泰 昌 | 平成12年 6月29日重任 |
| | | 平成12年 7月11日登記 |
| 取締役 | 藤 高 一 郎 | 平成12年 6月29日重任 |
| | | 平成12年 7月11日登記 |
| 取締役 | 坂本 昭 雄 | 平成13年 6月28日重任 |
| | | 平成13年 7月 5日登記 |
| 取締役 | 杉山 肇 | 平成13年 6月28日重任 |
| | | 平成13年 7月 5日登記 |
| 取締役 | 鈴木 康 | 平成12年 6月29日就任 |
| | | 平成12年 7月11日登記 |
| 取締役 | 仲田 武 彦 | 平成13年 6月28日就任 |
| | | 平成13年 7月 5日登記 |

整理番号 カ345439

* 下線のあるものは抹消事項であることを示す。

2/4

仙台市太白区郡山六丁目7番1号
 エヌイーシートーキン株式会社
 会社法人等番号 3700-01-001985

| | | |
|------|---|--------------------------------|
| | 横浜市旭区白根五丁目57番12号 代表取締役 羽田 祐一 | 平成13年 6月28日重任 平成13年 7月 5日登記 |
| | 仙台市太白区西の平二丁目11番2号 代表取締役 内山 秀男 | 平成13年 6月28日重任 平成13年 7月 5日登記 |
| | 監査役 松本 滋夫 | 平成13年 6月28日重任 平成13年 7月 5日登記 |
| | 監査役 前田 剛 | 平成11年 6月29日就任 |
| | 監査役 水上 辰夫 | 平成12年 6月29日就任 平成12年 7月11日登記 |
| | 監査役 鎌田 宏 | 平成13年 6月28日就任 平成13年 7月 5日登記 |
| 支店 | 1 大崎民間ソウル特別市江南区三成洞159-6 韓国都心空港ターミナルビル | |
| 転換社債 | <p>第2回転換社債 転換社債の総額 金98億9500万円 転換の条件 転換により発行する株式1株の発行価額（転換価額）は金1353円とし、 転換により発行する当社額面普通株式の株式数は、次のとおりとする。ただし、 本社債額面金額の一部および利息については、転換を請求することはできない。</p> <p style="text-align: center;">各社債権者が転換請求のため提出した本社債額面金額の総額</p> <p>株式数＝$\frac{\text{転換価額}}{\text{時価}}$</p> <p>この場合に、1株未満の端数を生じたときは、その端数に相当する社債額面金額は、額面100円につき金100円の割合で償還する。 転換価額は、本社債発行後、当社が時価を下回る払込金額をもって新株式を発行する場合は、次に定める算式をもって調整される。</p> <p style="text-align: center;"> $\text{調整後転換価額} = \frac{\text{調整前転換価額} \times \left(\frac{\text{既発行株式数} + \text{新発行株式数}}{\text{既発行株式数}} \right)}{\text{時価}}$ </p> <p>なお、株式の分割もしくは併合ならびに時価を下回る価額をもって株式に転換しうる証券の発行もしくは新株を引受ける権利を付与された証券の発行等</p> | |

整理番号 カ345439

* 下線のあるものは抹消事項であることを示す。

3/4

Partial English Translation of the Registration Certificate

(relevant part of page 1)

7-1, Koriyama 6-chome, Taihaku-ku, Sendai-shi
NEC TOKIN Corporation
Corporation No. 3700-01-001985

| | | |
|--|---|-----------------------------|
| Firm Name (Page 1, the first box) | TOKIN Corporation | |
| | NEC TOKIN Corporation | Registered on April 1, 2002 |
| Head Office (Page 1, the second box) | 7-1, Koriyama 6-chome, Taihaku-ku, Sendai-shi | |
| Method of Publication (Page 1, the third box) | Publishing in the Nikkei Newspaper published in Tokyo | |
| (The rest is omitted) | | |

(pages 2 and 3 omitted)

(page 4. at the bottom)

This document certifies all matters which are recorded in the registration certificate and which are effective at present.

This 17th day of June, 2002

Registrar of Sendai Legal Affairs Bureau Toshio MINEGISHI (Seal)

*Underlined portions indicate deleted matters.